

Polymer 40 (1999) 4493–4504

polymer

FT-i.r. study of thermal cyclization processes in synthesis of polyesterimidazopyrrolones

Danuta Sek^{*}, Bożena Kaczmarczyk, Ewa Schab-Balcerzak

Centre of Polymer Chemistry, Polish Academy of Sciences, Zabrze, Poland

Received 21 May 1998; received in revised form 6 August 1998; accepted 8 September 1998

Abstract

An FT-i.r. method was used to investigate the thermal cyclization process in synthesis of polyesterimidazopyrrolones from diesterdianhydrides and 3,3'-diaminobenzidine. It was proved that the structure of diphenols in the diesterdianhydrides influences the cyclization which goes via an amino-imide to the imidazopyrrolone structure. The presence of an electron-donating group in the diphenol causes the beginning of cyclization at a lower temperature than in the case when in the diesterdianhydride there is a diphenol with electron-withdrawing group. The cyclization process was investigated by dynamic and isothermal heating. © 1999 Elsevier Science Ltd. All rights reserved.

Keywords: Thermal cyclization; Polyesterimidazopyrrolones; FT-i.r. spectra

1. Introduction

The aim of our investigation was the determination of the progress of thermal cyclization processes which occur during synthesis of polyesterimidazopyrrolones.

The polyesterimidazopyrrolones studied were obtained in the reaction of various diesterdianhydrides with $3,3'$ -diaminobenzidine. In the first step of the reaction between anhydride group and *o*-diamine the amide–amino acid is formed which can cyclize via an amino–imide to imidazopyrrolone structure. The reaction route and the structure of the polymers studied is presented in Fig. 1.

The influence of the Ar group on the thermal cyclization process was investigated using two methods: dynamic heating in the range from 20 to 245° C and isothermal heating for definite times at 200, 245 and 260° C.

2. Experimental

2.1. Materials

Prepolymers with amide–amino acid structures used for these investigations were obtained as follows: $3,3'$ -diaminobenzidine (1 mmol) was dissolved in 10 ml dry *N*,*N*dimethylacetamide (DMA) and the stoichiometric amount of the given diesterdianhydride (1 mmol) was added slowly over a period of 30 min. The mixture was stirred for 1 h at

room temperature and the polymers were precipitated with ethanol.

The samples investigated were in the form of films obtained by casting solutions of the polymers in DMA onto potassium bromide windows and evaporating at 50° C for 5 h under vacuum.

2.2. Measurements:

Infrared spectra were acquired on a Bio-Rad FTS-40A Fourier transform infrared spectrometer in the range of 4000–700 cm⁻¹ at a resolution of 2 cm⁻¹ for an accumulated 64 scans. Spectra recorded at elevated temperatures were obtained using Carl Zeiss Jena high temperature control equipment in the temperature range from 20 to 245° C. The samples were heated under nitrogen at the rate of 20°C/min and kept at elevated temperatures for 10 min before a spectrum was recorded. Additional heating at 260 and 300°C was carried out in a muffle furnace under nitrogen for 1 h at each temperature. Spectra were recorded after cooling to 20° C.

For the isothermal method, samples were heated under vacuum at 200° C for 1, 3, 5, 8, 11, 17 h, then at 245° C for 3 h, and at 260° C for 1 h; FT-i.r. spectra were always recorded after cooling to 20° C.

In order to determine the quantitative relations among the bands which reflected the cyclization process, a WIN-IR curve-fitting program was used in the region of 1850– 1550 cm^{-1} . The initial parameters, i.e. number of peaks, centres, heights and widths of particular peaks, were

^{*} Corresponding author.

^{0032-3861/99/\$ -} see front matter © 1999 Elsevier Science Ltd. All rights reserved. PII: S0032-3861(98)00687-9

Fig. 1. Scheme of the reaction route and the structure of polymers.

obtained from the second derivative spectra. An interactive procedure and Gaussian–Lorentzian fitting of curves were chosen to correct these parameters and compute particular peaks.

3. Results and discussion

In order to determine the changes which appear during heating, the following regions were carefully studied: $3600-2500$ cm⁻¹ (the region characteristic for N–H stretching vibrations of NH_2 amine, NH amide and O–H acid groups); $1800-1700$ cm⁻¹ (the region corresponding to $C=O$ imide, ester, acid groups and $C=O$ groups in fused pyrrole cycle); $1700-1630$ cm⁻¹ (the region characteristic for the amide I bands (free and bonded $C=O$ amide groups)); $1630-1600$ cm⁻¹ (the region due to deformation vibrations of $NH₂$ amine groups, stretching vibrations of $C=N$ groups in fused cycle and stretching vibrations of aromatic ring); and $1550-1500$ cm⁻¹ (the region characteristic for the amide II bands (free and bonded $NH₂$ amide groups)) [1–4].

3.1. Absorption band in the region $3600-2500$ cm⁻¹

The band at \sim 3450 cm⁻¹ observed in the spectra for the all polymers investigated (Fig. 2a) can be ascribed as combination bands of the overtone of $C=O$ ester groups. stretching vibrations of $NH₂$ amine groups (asymmetrical) and stretching vibrations of NH free amide groups.

The bands at \sim 3350 and 3230 cm⁻¹ are due to stretching vibrations of $NH₂$ amine groups (symmetrical) and NHbonded amide groups.

The band at $\sim 3030 \text{ cm}^{-1}$ can be ascribed as the overtone

Fig. 2. FTIR spectra of polymers 1, 2 and 3 at 20 $^{\circ}$ C in the region of 3600–2200 cm⁻¹ (a) and 1850–1450 cm⁻¹ (b).

of C=O amide groups. Several bands in the region of 3000– 2500 cm^{-1} correspond to OH-bonded acid group vibrations. whereas the bands in the region of $3100-3000$ cm⁻¹ correspond to C–H stretching vibrations in aromatic ring. The bands observed in the spectrum of polymer 2 at 2968, 2936 and 2876 cm⁻¹ are also due to CH₃ group stretching vibrations.

With regard to the complex character of the overlapping bands in the region of $3600-2500$ cm⁻¹ (indefinite assignment of bands and unknown influence of hydrogen bonds) curve-fitting calculations and thus quantitative investigations are not possible to make in that region.

3.2. Absorption bands in the region $1800-1700$ cm⁻¹

For the all polymers investigated, a high intensity band at \sim 1740 cm⁻¹ due to the stretching vibration of C=O ester groups is observed in this region. In spite of the fact that polymers were synthesized using conditions favourable to polyamide–amino acids formation, in their FT-i.r. spectra bands characteristic for imide groups at \sim 1780 and 1721 cm^{-1} are also detected (Fig. 2b). This may be due to heating the foils at 50° C during their preparation.

As seen from curve fitting calculations (Tables $1-3$) the areas of bands ascribed to imide groups are different for particular compounds and suggest that the highest amount of imide was formed in the case of polymer 3, whereas only slight amount in polymer 2. It can be explain by the influence of connecting group. Benzophenone and bisphenol A groups exhibiting electron-withdrawing and electron-donating character, respectively, in opposite to the inert catechol group, changes the charge distribution in the ester groups and simultaneously in aromatic ring. This

may cause some differences in reactivity of acid and amide groups.

Curve fitting calculations indicate also that the band arising from C=O acid groups is observed at 1709, 1715 and 1713 cm⁻¹ for polymers 1, 2 and 3, respectively.

3.3. Absorption bands in the region $1700-1600$ cm⁻¹

This region is characteristic for the amide I band. Fig. 2b proves that polyesteramide–amino acids investigated exhibit broad bands with some shoulders in that region.

Curve fitting calculations (Tables $1-3$) conclude the presence of a lower area band arising from free $C=O$ amide band at 1679, 1675 and 1675 cm^{-1} and higher area band and 1659, 1653 and 1665 cm⁻¹ due to bonded C=O amide group for polymers 1, 2 and 3, respectively.

In the case of polymer 1 the band at 1659 cm⁻¹ consists also of $C=O$ ketone groups vibrations.

The bands at 1625, 1628 and 1627 cm⁻¹ for polymers 1, 2 and 3, respectively, can be ascribed as characteristic for $NH₂$ amine groups. With regard of the fact that the band arising from aromatic ring stretching vibrations at $\sim 1600 \text{ cm}^{-1}$ strongly overlaps the bands due to $NH₂$ and C=N group vibrations, the values of areas calculated for these bands can be erroneous and should be treated as additional information.

3.4. Absorption bands in the region $1550-1500$ cm⁻¹

Bands observed in this region are characteristic for amide II band. As seen in Fig. 2b for all polymers investigated broad bands due to free and bonded NH amide groups overlapped with the bands at \sim 1500 cm⁻¹ arising from aromatic ring are observed.

Fig. 3. FTIR spectra of polymer 1 at 20 (—), 180 (\cdots) and 300°C (---) in the region of 3600–2200 cm⁻¹ (a) and 1850–1450 cm⁻¹ (b).

4. Thermal investigations

4.1. Dynamic heating

In order to determine the progress of thermal cyclization process running during dynamic heating, samples were heated and FT-i.r. spectra at 20, 50, 80, 100, 120, 140, 160, 180, 200, 220 and 245° C, and after cooling were recorded.

At the first stage of the dehydration reaction the formation of a polyester–amino–imide took place, which is reflected in the FT-i.r. spectra by decreasing in the intensities of the bands characteristic for acid and amide groups and a simultaneous increasing in the intensities of bands corresponding to imide groups.

The second stage of cyclization process causes formation of a polyesterimidazo–pyrrolone structure. This is associated with a decrease in the intensities of bands arising from imide groups and $NH₂$ amine groups. The process should proceed with the simultaneous appearance of new bands due to $C=O$ and $C=N$ group vibrations in the fused cycle at about 1755 and 1620 cm^{-1} , respectively.

Fig. 4. FTIR spectra of polymer 2 at 20 (--), 140 (\cdots) and 260°C (---) in the region of 3600–2200 cm⁻¹ (a) and 1850–1450 cm⁻¹ (b).

Fig. 5. FTIR spectra of polymer 3 at 20 (--), 140 (\cdots) and 260°C (---) in the region of 3600–2200 cm⁻¹ (a) and 1850–1450 cm⁻¹ (b).

calculations (Table 1) indicates that some amount of imide formed during the foil preparation is present at 20° C. During heating to 180°C continuous increase in the bands areas due to C=O imide groups (1782 and 1724 cm^{-1}) is observed. Simultaneously, the areas of bands arising from $C=O$ acid and C=O amide groups (1711, 1679 and 1659 cm⁻¹) are systematically decreasing. At 180° C only bands characteristic for imide are observed. It is confirmed by the region of $3000 - 2400$ cm⁻¹. FT-i.r. spectrum (Fig. 3a) indicates that at 180° C bands arising from OH acid and NH amide groups disappear. Further heating to 220° C does not give any marked changes. The bands corresponding to $C=O$ and C=N groups in fused cycle at 1756 and 1617 cm^{-1} , respectively, appear at 245°C. Simultaneously, the decrease in areas of bands characteristic for imide groups and NH2 amine groups follows systematically. After cooling to 20° C, only slight shifts and changes in areas in relation to the spectrum recorded at 245° C are observed.

Further heating was led under nitrogen in a muffle furnace at 260 and then at 300°C. FT-i.r. spectra were recorded after cooling the samples to 20° C. Curve fitting calculations obtained from the spectrum acquired after cooling from 260° C exhibit certain increase in areas of bands due to $C=O$ and $C=N$ groups in fused cycle. The next changes are noticed after heating the samples at 300° C, but even at this case low area bands arising from imide groups are still observed. Longer heating at 300° C (for 2 h) caused the beginning of the polymer degradation.

Thus it confirms that the polyester–amino–imide with benzophenone connecting group undergoes thermal cyclization at 300° C. However, heating longer then 1 h at this temperature causes a beginning of degradation.

4.1.2. Polymer 2

In comparison to the other polymers only slight amount of imide groups formed during drying of the film is present in the polymer 2 (Table 2). Changes caused by temperature increase to 120° C follow slowly. At 120° C still relatively high areas of bands ascribed to $C=O$ acid and $C=O$ amide groups are observed. Curve fitting calculations and FT-i.r. spectra (Fig. 4a,b) indicate that at 140° C only the bands corresponding to imide and amine groups are observed. During heating from 180 to 245° C only slight decreases in areas of bands due to imide groups $(1780 \text{ and } 1721 \text{ cm}^{-1})$ and NH_2 amine groups (1623 cm⁻¹) are observed. Simultaneously low area band at 1754 cm^{-1} appears. The spectrum obtained after cooling to 20° C is similar to that acquired at 245°C. Remarkable changes in the spectrum follow at 260° C. FT-i.r. spectrum and curve fitting calculations exhibit that at this temperature only the bands arising from $C=O$ (1760 cm^{-1}) and C=N (1620 cm^{-1}) groups in the fused cycle are present.

It may be concluded that in polyesteramide–amino acid with a bisphenol A connecting group, imidization process starts at 140° C, whereas the cyclization to the imidazopyrrolone structure takes place at 260° C.

4.1.3. Polymer 3

As described above, polymer 3 contains the highest amount of imide groups formed during drying of the film in comparison to the other polymers. As indicates from FTi.r. spectra (Fig. 5a,b) and curve fitting calculations (Table 3) changes in areas of bands follow continuously during heating to 140°C. The areas of bands characteristic for C=O acid (1713 cm⁻¹) and C=O amide groups (1675,

The results of curve fitting in the region of $1850-1550 \text{ cm}^{-1}$ for polymer 1 (isothermal heating)

1665 cm $^{-1}$) are decreasing, whereas the areas of bands due to imide groups (1781, 1721 cm⁻¹) are increasing. At 140° C only bands ascribed to imide groups are detected. Also FTi.r. spectrum obtained at 140° C in the region of 3500–2500 cm^{-1} does not exhibit the bands corresponding to OH acid and NH amide groups (Fig. 5a). In the case of polymer 3 the bands arising from $C=O$ (1754 cm⁻¹) and $C=N$ (1617 cm^{-1}) groups in fused cycle appear already at 160 and at 245°C only slight areas of the bands characteristic for imide are present. FT-i.r. spectrum obtained after heating to 260° C and curve fitting calculations indicate that at 260° C only bands corresponding to $C=O$ and $C=N$ groups in fused cycle are observed. The bands due to imide groups (1780, 1721 cm⁻¹) and NH₂ amine groups (3500–3100 and 1627 cm^{-1}) disappear.

The above results prove that polyester–amino-imide with catechol connecting group is formed at 140° C and cyclization to imidazopyrrolone structure proceeds continuously from 160 to 260° C.

4.2. Isothermal heating

In the case of isothermal heating the samples were heated under vacuum at 200° C at first for 1 h, and after cooling to 20° C FT-i.r. spectrum was recorded. Heating in these conditions were repeated for the definite times and every time spectra were recorded after cooling to 20° C. In the Tables $4-6$ the total times of heating at 200° C are given.

As seen from the Tables 4–6 for the all polymers, already after heating at 200° C for 1 h low area bands corresponding to imidazopyrrolone structure appears. However, further heating even for 17 h causes only small increase in areas of the bands due to polyimidazopyrrolone structure with simultaneous decrease in the areas of bands ascribed to $C=O$ imide and NH₂ amine groups. More changes can be observed after the following heating at 245° C for 3 h and then at 260° C for 1 h.

Comparing the results of curve-fitting calculations obtained for polymer 1 during the dynamic and isothermal heating (Tables 1 and 4) can be noticed that on the contrary to isothermal heating the bands due to polyimidazopyrrolone structure are not detected to 220° C during dynamic heating. Consequently, bigger areas of bands characteristic for $C=O$ and $C=N$ groups in imidazopyrrolone can be seen after heating at 260° C in the case of isothermal heating.

In the case of polymer 2 during isothermal heating (Table 5) a slight amounts of imide groups are detected even after heating at 260° C on the contrary to dynamic heating when after heating to 260° C (Table 2) the total cyclization is observed.

Similar differences can be seen for polymer 3 but during

dynamic heating considerably more polyimidazopyrrolone groups at 200, 245 and 260° C are formed than in the case of isothermal heating (Tables 3 and 6).

The observed changes between dynamic and isothermal heating can be explained by different conditions of the heating processes: in the case of dynamic heating cyclization runs from 20 to 245° C under nitrogen without cooling for recording the spectra while during isothermal heating cyclization proceeds under vacuum and samples were cooled before recording the spectra. On the other hand during dynamic heating the polymers were kept at the elevated temperature during 10 min.

5. Conclusions

It was demonstrated that the progress of thermal imidization and cyclization to imidazopyrrolone rings depends on the structures of diphenols in ester-connecting groups in diesteranhydrides used for polycondensation with $3,3'$ diaminobenzidine.

In the case of polymers with a catechol group in the middle of diesteranhydride imidization takes place continuously with temperature increase up to 140°C. Cyclization process to imidazopyrrolone structure begins already from 160 \degree C, and at 245 \degree C almost complete cyclization is observed. During isothermal heating a smaller degree of cyclization is observed.

The presence of a bisphenol A group having an electrondonating character causes imidization to proceed mostly at 120 \degree C, and likewise, as above, polymer ends at 140 \degree C. On the contrary, the cyclization to imidazopyrrolone takes place mainly at 260°C. More imidazopyrrolone groups were formed during isothermal heating at 200 and 245° C.

The benzophenone group exhibiting electron-withdrawing character causes the increase in imidization temperature to 160° C. The cyclization to imidazopyrrolone structure is not completed even at 300°C. Better cyclization is observed in the case of isothermal heating.

References

- [1] Dolphin D, Wick A. Tabulation of infrared spectral data. New York: Wiley, 1977.
- [2] Lajus LA, Bessonow MI, Koton MM, Florinskij FS. Wysokomol Soed 1970;XII(A):1834.
- [3] Bellamy LJ. The infrared spectra of complex molecules. London: Chapman and Hall, 1975.
- [4] Cross AD, Jones RA. An introduction to practical infrared spectroscopy. London: Butterworths, 1969.